

4/18/05

10/527199

1

DT06 Rec'd PCT/PTO 10 MAR 2005

DESCRIPTION

CDMA TRANSMITTING APPARATUS AND CDMA RECEIVING APPARATUS

5 Technical Field

The present invention relates to a transmitting apparatus and a receiving apparatus that perform parallel communication of varying data between multiple transmission and reception antennas, as in MIMO  
10 (Multi-Input/Multi-Output) communications.

Background Art

In recent years, attention has been paid to MIMO (Multi-Input/Multi-Output) communications as a  
15 technique that enables communications for large-capacity data such as image.

In MIMO communications, varying transmission data (sub-streams) is transmitted from multiple antennas at the transmitting side, and the receiving side separates  
20 the multiple transmission data mixed through the propagation path back to the original transmission data using propagation path estimation values (for example, see Unexamined Japanese Patent Publication No. 2002-44051 (FIG. 4)).

25 Actually, in MIMO communications, signals sent from transmitting apparatuses are received by the same or a greater number of antennas than the transmitting

apparatuses, and propagation path characteristics between the antennas are estimated based on a pilot signal inserted in each signal received by the antennas. For example, when there are two transmitting antennas and  
5 two receiving antennas, the estimated propagation path characteristic,  $H$ , can be expressed in a matrix of 2 rows x 2 columns. In MIMO communications, a transmission signal transmitted from each transmitting antenna is obtained based on the four components of the obtained  
10 propagation path characteristic  $H$  and a received signal obtained by each receiving antenna.

In this way, in MIMO communications, since the receiving side can separate the signals sent from the multiple transmitting antennas with the same timing and  
15 the same frequency into individual sub-streams, the amount of data proportional to the number of transmission antennas can be transmitted, thereby enabling high-speed and large-capacity communications.

In addition, in MIMO communications, since multiple  
20 data can be surely transmitted in parallel, transmission data per time is increased correspondingly. However, it is only when all the inter-antenna propagation paths have good characteristics that an increase in the amount of transmission data corresponding to the number of antennas  
25 can be expected, and, in actuality, there are few cases in which the propagation path characteristics are all good, and there are propagation paths with poor

propagation path characteristics. In such case, at time of compensating other channel interference, an interference compensation error occurs in data transmitted through the propagation path due to noise and the like, and error rate characteristics upon demodulation of the received data decrease. At this time, if retransmission control is performed, the received data is determined as an error, and retransmission of data is repeated and the amount of overall transmission data practically decreases.

#### Disclosure of Invention

An object of the present invention is to provide a CDMA transmitting apparatus and a CDMA receiving apparatus that, when varying data is transmitted from multiple antennas, maintain the spectrum efficiency and improve the error rate characteristics of the received data.

The propagation path environment where carrier waves transmitted from the multiple antennas of the MIMO transmitting apparatus pass is not equal, and there are propagation paths with poor propagation path characteristics. In such case, at time of compensating other channel interference, an interference compensation error occurs in data transmitted through the propagation path due to noise and the like, and error rate characteristics upon demodulation of the received data

decrease. However, in order to improve the error rate characteristic, if transmission power is increased, if a spreading factor is increased, or if the number of code division multiplexes is decreased in the case of using  
5 a CDMA system, the spectrum efficiency also decrease, leading to an unfavorable result that a channel capacity is reduced in view of the entire system.

The present inventor paid attention to this point and came to achieve the present invention by discovering  
10 that by providing a spreading section for each transmission line when the CDMA system is used in an MIMO communication apparatus, a spreading method can be independently changed for each transmission line.

Namely, the outline of the present invention is that  
15 a different spreading method is independently set in each transmission line when varying data is respectively transmitted in parallel from each of multiple antennas (transmission lines) as in MIMO communications. This setting may be performed with consideration given to the  
20 channel quality of the receiving side and the like.

Accordingly, for example, when the above spreading method changes the spreading factor in spreading for each transmission line, the spreading factor used in a transmission line with poor channel quality (transmission  
25 path environment) is increased, thereby making it possible to improve the channel quality. Moreover, important data is transmitted through a transmission line

with a high spreading factor, thereby making it possible to improve error rate characteristics of important data.

For example, there are three ways of changing the spreading method, as in the following:

- 5 The first way is to change the spreading factor for each transmission line; the second way is to change the number of spreading codes for use (the number of multiplex) for each transmission line; and the third way is to change the number of spreading codes to be assigned to one user  
10 (the number of assigning spreading codes) for each transmission line.

#### Brief Description of Drawings

FIG. 1 is a block diagram illustrating a  
15 configuration of a CDMA transmitting apparatus according to Embodiment 1 of the present invention;

FIG. 2 is a block diagram illustrating a configuration of a CDMA receiving apparatus according to Embodiment 1 of the present invention;

20 FIG. 3 is a block diagram illustrating a configuration of a CDMA transmitting apparatus according to Embodiment 2 of the present invention; and

FIG. 4 is a view in which only a portion around a spreading section of the CDMA transmitting apparatus  
25 according to Embodiment 2 of the present invention is extracted.

### Best Mode for Carrying Out the Invention

The following specifically explains embodiments of the present invention with reference to the accompanying drawings. In addition, Embodiment 1 shows a case in which  
5 the spreading factor is changed for each transmission line, and Embodiment 2 shows a case in which the number of multiplexes is changed for each transmission line or a case in which the number of assigning spreading codes is changed for each transmission line. Though  
10 explanation is given here by taking as an example a case in which the CDMA transmitting apparatus and the CDMA receiving apparatus according to the present invention each have two antennas, the present invention can be applied to cases where the number of antennas is  
15 arbitrarily set.

(Embodiment 1)

FIG. 1 is a block diagram illustrating a configuration of a CDMA transmitting apparatus according  
20 to Embodiment 1 of the present invention.

The CDMA transmitting apparatus illustrated in FIG. 1 has an S/P converting section 101, spreading sections 102, 103, an adding section 104, a transmitting section 105, an antenna 106, and a spread control section 107. Among  
25 these, the spreading section 102 to the antenna 106-1 will be referred to as a first transmission line, and the spreading section 103 to the antenna 106-2 will be

referred to as a second transmission line.

In FIG. 1, transmission signals A1, A2, B1, B2, ..., K1, K2, each having multiple sub-streams, are input to the S/P converting section 101. Here, among these  
5 transmission signals, A1, B1, ..., K1 represent the data for the first transmission line and A2, B2, K2 represent the data for the second transmission line. Moreover, the transmission signals have K types of sub-streams. For example, sub-streams A1 and A2 indicate speech  
10 information, sub-streams B1 and B2 indicate image information and sub-streams K1 and K2 indicate control information, thus varying media information is used.

The S/P converting section 101 converts the input transmission signals A1, A2, B1, B2, ..., K1, and K2 to  
15 parallelized data separated for each transmission line, and outputs the results to the corresponding spreading sections 102-1 to 102-K and the spreading sections 103-1 to 103-K, respectively. For example, the transmission signals A1 and A2 are converted to parallelized data  
20 through the S/P converting section 101, and A1 and A2 are output to the spreading section 102-1 and the spreading section 103-1, respectively.

In the spreading section 102, the spreading sections 102-1 to 102-K, which correspond to the respective data  
25 of the parallelized data output from the S/P converting section 101, spread the respective data under control of the spread control section 107, and output the results

to the adding section 104-1. Similarly, in the spreading section 103, the spreading sections 103-1 to 103-K, which correspond to the respective data of the parallelized data output from the S/P converting section 101, spread  
5 the respective data under control of the spread control section 107, and output the results to the adding section 104-2.

The adding sections 104-1 and 104-2 add (multiplex) the parallelized data, which is respectively output from  
10 the spreading sections 102 and 103, and outputs the results to the transmitting sections 105-1 and 105-2.

The transmitting sections 105-1 and 105-2 provide predetermined radio transmission processings including up-conversion to the multiplexed signals output from the  
15 adding sections 104-1 and 104-2, and transmit this data by radio via the antennas 106-1 and 106-2. Moreover, when a control signal relating to transmission power is sent from the spread control section 107, power of the transmission signal in each transmission line is changed  
20 according to the control signal.

The spread control section 107 controls the spreading method in the spreading sections 102 and 103 based on the channel qualities. Additionally, in this embodiment, it is considered that the spreading factor  
25 is changed as the spreading method. For example, in connection with the transmission line with a poor channel quality, the receiving side selects such a spreading



method that improves the error rate characteristics (reception accuracy). Namely, in this embodiment, the spreading factor of spreading in connection with the transmission line with a poor channel quality is increased.

5 Here, the channel quality may be sent from the receiving side, and in the case where transmission power control is performed by the transmitting side, transmission power may be used instead of this.

FIG. 2 is a block diagram illustrating a configuration of the CDMA receiving apparatus that receives signals transmitted by radio from the antennas 106-1 and 106-2 of the CDMA transmitting apparatus. The CDMA receiving apparatus has an antenna 151, a receiving section 152, an interference compensating section 153, a despread sections 154, 155, a selecting section 156, and a despread control section 157.

In FIG. 2, the receiving sections 152-1 and 152-2 provide predetermined radio reception processings including down-conversion to the signals received by two antennas 151-1 and 151-2, and output the results to the interference compensating section 153.

The interference compensating section 153 first estimates (channel estimation) propagation path characteristics between the antennas 106-1, 106-2 and the antennas 151-1, 151-2, using the pilot signals included in the signals received by the respective antennas. Namely, according to this embodiment, since

both the transmitting side and the receiving side use two antennas, 4 (2 x 2) propagation path characteristics are estimated. Next, the interference compensating section 153 separates the signals output from the receiving sections 152-1 and 152-2 back to the original sub-streams sent from two antennas 106-1 and 106-2 of the transmitting side based on the estimated propagation path characteristics information. In other words, since the received signal is a mixture in which the data sent from two antennas 106-1 and 106-2 of the transmitting side is mixed, the received signal is multiplied by the inverse matrix of the matrix including propagation path characteristics information of 2 rows x 2 columns using the propagation path characteristics obtained by the channel estimation, thereby separating the two data mixed with each other back to the two sub-streams sent from the transmitting side. Additionally, not only the method using the aforementioned inverse matrix operation but also a method using an equalizer sequence determination, an MLSE (Maximum Likelihood Sequence Estimation) and the like can be used as a sub-stream separation method.

The despreading sections 154 and 155 obtain the transmission data before spreading by multiplying the signal output from the interference compensating section 153 by a spread code based on the spreading factor sent from the despread control section 157, and output the result to the selecting section 156.

The selecting section 156 selects a signal sent to the own apparatus from the received signals output from the despreding sections 154 and 155, and output the result. The output signal is subjected to a predetermined  
5 processing via a decoding section, an error correcting section and the like (not shown), so that the desired received signal is obtained. Additionally, in the case where both received signals output from the despreding sections 154 and 155 are signals that are sent to the  
10 own apparatus, the selecting section 156 outputs the signals by way of time division.

Though the CDMA receiving apparatus according to this embodiment basically requires two receiving lines, the following processing can be performed by one line  
15 because of the selecting section 156.

The despread control section 157 obtains the spreading factors used in the spreading sections 102 and 103 by using the same algorithm as that of the spread control section 107 of the transmitting side, and reports  
20 the despreding sections 154 and 155 of the result.

In the aforementioned configuration, the spreading factor used in the spreading section 102 and the spreading factor used in the spreading section 103 are set independently of each other. For example, in the case  
25 where the spreading factors used in the spreading sections 102-1 to 102-K in the spreading section 102 and the spreading factors used in the spreading sections 103-1

to 103-K in the spreading section 103 are singly set respectively, and their values are SF1 and SF2, SF1 and SF2 can be set independently of each other without considering the other value.

5           This makes it possible to improve the error rate characteristic on the receiving side of the signal transmitted from the first transmission line as compared with the signal transmitted from the second transmission line when, for example, SF1 is set greater than SF2. At  
10 this time, important data is sent from the first transmission line, thereby making it possible to improve the error rate characteristics of important data.

          Additionally, in the aforementioned configuration, SF1 may be set to be greater than SF2, in burst, for a  
15 fixed period of time. For example, when the number of transmitting parties having a poor channel quality is small, it is not efficient to keep SF1 set greater than SF2 since transmission efficiency of one transmission line is always sacrificed. However, it is possible to  
20 improve both the transmission efficiency and the error rate characteristics of the receiving side when the aforementioned setting is performed for only a fixed period to improve the error rate characteristics of the receiving side of the transmitting party with the poor  
25 channel quality for this period and the conventional communication method is adopted for the other period.

          Moreover, though the explanation is given here by

taking as an example a case where a single spreading factor is used in the spreading sections 102-1 to 102-K in the spreading section 102 and a single spreading factor is used in the spreading sections 103-1 to 103-K in the spreading section 103, respectively, in order to simplify the explanation, the spreading factors need not always be uniform. For example, in the case where several kinds of spreading factors are used in the spreading sections 103-1 to 103-K (for instance, when the spreading factor used in the spreading section 102-1 is different from the spreading factor used in the spreading section 102-2, it is desirable that one should be an integral multiple of the other), SF1 may be set to a value greater than an average value of the spreading factors of several kinds, or such a manner that a value greater than all of the spreading factors used in the spreading sections 103-1 to 103-K may be used. Also, in case the spreading factors used in the spreading sections 102-1 to 102-K in the spreading section 102 and the spreading factors used in the spreading sections 103-1 to 103-K in the spreading section 103 are not uniform, the average values of the respective transmission lines may be obtained and scale comparison may be performed between SF1 and SF2. In such case, in order to set SF1 to be greater than SF2, all the spreading factors of the spreading sections 102-1 to 102-K in the spreading section 102 may be uniformly increased, or a specific spreading section, for example,

the spreading factor of only the spreading section 102-1 may be increased. The latter is particularly effective when only the spreading section 102-1 takes charge of signals for a certain user and the channel quality of this user is poor.

Moreover, in the aforementioned configuration, the spread control section 107 controls spreading factors SF1 and SF2 of the spreading sections 102 and 103 according to the channel qualities. This makes it possible to highly set the spreading factor of the transmission line with a poor channel quality.

Additionally, though the explanation is given here by taking as an example a case where the spreading method in the spreading sections 102 and 103 is controlled according to the channel qualities, the aforementioned control may be performed according to the degree of importance of the original transmission data. For example, since control information of the communication system and retransmission information and the like can be considered as important data, such data can be set to be transmitted from the transmission line in which the spreading factor is set high.

Moreover, transmission power may be used in place of the channel quality. This is because transmission power must be increased according to the quality when the channel quality is poor at the time of performing transmission power control.

Moreover, the number of data retransmissions may be used in place of the channel quality. This is because the number of data retransmissions must be increased when the channel quality is poor in the communication system that performs retransmission control such as ARQ Automatic Repeat request.

Moreover, in the above configuration, in the case where the spread control section 107 sets the spreading factor SF1 of the spreading section 102 to be greater than the spreading factor SF2 of the spreading section 103, the spread control section 102 outputs a control signal for increasing transmission power to the transmitting section 105-1 at the same time. Accordingly, since the spreading factor is set high to increase transmission power of the signal in which the error rate characteristic of the receiving side is improved, a combination of the both effects of increased spreading factors and increased transmission power are superimposed, so that the error rate characteristic of the receiving side can be improved.

Additionally, in the above configuration, when SF1 is set to be greater than SF2, the S/P converting section 101 may assign the transmitting party where the number of retransmissions is increased (the number of retransmissions is greater than a predetermined number of times) to the transmission line of the spreading sections 102-1 to 102-K. Accordingly, since the channel

where the error rate characteristic of the receiving side is improved is assigned to the transmitting party where the number of retransmissions is large, data retransmission can be prevented from being repeated and  
5 data retransmission can be speedily completed.

According to this embodiment, when varying data is transmitted from the multiple antennas, spreading can be performed by spreading factors that vary on a per transmission line, so that it is possible to improve the  
10 error rate characteristics of the received signal at the receiving apparatus and maintain the spectrum efficiency.

(Embodiment 2)

FIG. 3 is a block diagram illustrating a  
15 configuration of a CDMA transmitting apparatus according to Embodiment 2 of the present invention. This CDMA transmitting apparatus has the same basic configuration as that of the CDMA transmitting apparatus shown in FIG. 1, and the same reference characters as those of FIG. 1 are added to the same configuration components as those  
20 of FIG. 1, and the explanation is omitted.

A feature of this embodiment is that, among spreading methods for each transmission line, the number of code multiplexes changes based on the channel qualities.

25 In FIG. 3, a spread control section 107a decides the number of code multiplexes in the spreading sections 102 and 103 based on the notified channel qualities, and



outputs a control signal to the spreading sections 102 and 103 so that the spreading sections 102 and 103 perform spread processing using the decided number of code multiplexes. Moreover, a control signal is also output  
5 to an S/P converting section 201 to control the S/P converting section 201 so that a signal is output to only the spreading section actually used in the spreading sections 102 and 103 from the S/P converting section 201.

The S/P converting section 201 separates inputting  
10 transmission signals A1, A2 to parallelized data for the first transmission line and the second transmission line based on the control signal from the spread control section 107a and at the same time converts the transmission signals to output the signal to only the spreading section actually  
15 used in the spreading sections 102 and 103. For example, when the number of code multiplexes in the spreading section 102 is M and the number of code multiplexes in the spreading section 103 is N, K kinds of sub-streams are converted to M and N sub-streams in the S/P converting  
20 section 201.

The spreading sections 102 and 103 spread M and N sub-streams output from the S/P converting section 201 and output the results to the adding sections 104-1 and 104-2, respectively. Additionally, though FIG. 3  
25 illustrates only M spreading sections in the spreading section 102 and only N spreading sections in the spreading section 103 in order to simplify the explanation, this

illustrates only the block that is actually used, and  $K$  ( $K > M$ ,  $K > N$ ) spreading sections are actually included, similar to Embodiment 1.

In the aforementioned configuration, the number of  
5 code multiplexes  $M$  actually used in the spreading section  
102 and the number of code multiplexes  $N$  actually used  
in the spreading section 103 are set independently of  
each other. Accordingly, for example, when  $M$  is set to  
be smaller than  $N$ , the error rate characteristic of the  
10 receiving side of the signal transmitted from the first  
transmission line can be improved as compared with the  
signal transmitted from the second transmission line.  
At this time, important data is transmitted from the first  
transmission line, thereby making it possible to improve  
15 the error rate characteristic of important data.

Moreover, in the aforementioned configuration, the  
spread control section 107a controls the number of code  
multiplexes  $M$  and  $N$  of the spreading sections 102 and  
103 according to the channel qualities. This makes it  
20 possible to set the number of code multiplexes of the  
transmission line with a poor channel quality to be small.

Moreover, by the same configuration, the number of  
spreading codes to be assigned for each user can be changed  
for each transmission line in the spreading method. FIG.  
25 4 is a view in which only a portion around the spreading  
section 102 is extracted from FIG. 3. As illustrated in  
this figure, in the case of adopting a multicode system

that assigns multiple spreading codes to one user such as case in which the spreading sections 102-1 and 102-2 are assigned to user 1 and the spreading sections 102-3 and 102-4 are assigned to user 2, the number of assigning  
5 spreading varies between the transmission lines.

Accordingly, a large number of assigning spreading codes is assigned to the users (transmitting parties) having a poor channel quality, thereby making it possible to improve the error rate characteristics of these users  
10 received signals.

Additionally, the number of code multiplexes of the transmission line with a poor channel quality or the number of spreading codes to be assigned to the transmitting party with a poor channel quality may be set, in burst,  
15 for only a fixed period of time. For example, when the number of transmitting parties having a poor channel quality is low, it is not so efficient to perform the aforementioned setting at all times since transmission efficiency of one transmission line is always sacrificed.  
20 However, it is possible to improve both the transmission efficiency and the error rate characteristics of the receiving side when the aforementioned setting is performed for only a fixed period to improve the error rate characteristic of the receiving side of the  
25 transmitting party with the poor channel quality for this period and the conventional communication method is adopted for the other period.

The CDMA receiving apparatus that receives the signal sent from the CDMA transmitting apparatus adopts the same configuration as that of Embodiment 1, and the explanation is omitted.

5        In this way, according to this embodiment, since it is possible to use the number of multiplexes or the number of assigning spreading codes different for each transmission line when varying data is transmitted from the multiple transmission lines, respectively, the error  
10 rate characteristic of the received signal can be improved by the receiving apparatus as maintaining the spectrum efficiency.

The CDMA transmitting apparatus and CDMA receiving apparatus according to the present invention can be  
15 installed in a communication terminal apparatus and a base station apparatus in a mobile communication system, thereby making it possible to provide a communication terminal apparatus and base station apparatus having the same functions and effects as described above.

20        Although a case has been described herein where the spread control section of the present invention is installed in the CDMA transmitting apparatus and the transmitting side sets the spreading method, it is equally possible to use such a manner that the spread control  
25 section is installed in the CDMA receiving apparatus and the receiving side sets the spreading method and provides instructions on the spreading method to the transmitting

side.

Moreover, turbo code may be used as an error correction code in the CDMA transmitting apparatus and CDMA receiving apparatus according to the present invention. In such case, when turbo decoding is performed using a systematic bit and a parity bit, the transmission line where the high spreading factor is set or the transmission line where the small number of code multiplexes is set is assigned to the systematic bit that has a large influence on the error rate characteristic of turbo-decoded data. Accordingly, since the reception quality of the systematic bit can be improved, the error rate characteristics of turbo-decoded data can be improved.

Furthermore, the CDMA transmitting apparatus and CDMA receiving apparatus according to the present invention can be used in the transmitting apparatus and receiving apparatus using a multi-carrier system such as OFDM (Orthogonal Frequency Division Multiplex) and the like, thereby making it possible to provide a multi-carrier transmitting apparatus and multi-carrier receiving apparatus having the same function and effect as mentioned above. The transmission line using multi-carrier system has an effect that reduces inter-symbol interference due to multipath under a multipath environment since a low symbol rate (long symbol length) is set. Moreover, the inter-symbol interference

due to multipath can be removed by inserting a guard interval.

Furthermore, although a case has been described herein where the components that configure the present invention are included in one CDMA transmitting apparatus, 5 the present invention is also applicable to a case in which the spreading section 102 to the antenna 106-1, the spreading section 103 to the antenna 106-2, and the spread control section 107 are provided in different 10 apparatuses, respectively, to configure one communication system as a whole.

Moreover, although the explanations herein assume MIMO communications, the present invention is by no means limited to MIMO communications and is applicable to a 15 case in which varying data is transmitted in parallel from multiple antennas (transmission lines).

As explained above, according to the present invention, in the case where data is transmitted from the multiple antennas, respectively, the error rate 20 characteristic of received data can be improved.

This application is based on Japanese Patent Application No.2002-330453 filed on November 14, 2002, entire content of which is expressly incorporated by reference herein.

25

#### Industrial Applicability

The present invention can be applied to a case in

which communication is performed using CDMA (Code  
Division Multiple Access) by a transmitting apparatus  
and receiving apparatus that perform parallel  
communication of varying data between each multiple  
5 reception antennas as in MIMO (Multi Input/Multi Output)  
communications.